

### **Qualification Handbook**

# BIIAB Level 3 Award for Designated Premises Supervisors

603/3735/7

Version 1



| Version and date | Change, alteration or addition | Section |
|------------------|--------------------------------|---------|
|                  |                                |         |
|                  |                                |         |



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# 1. About the BIIAB Level 3 Award for Designated Premises Supervisors

BIIAB is regulated to deliver this qualification by Ofqual in England. The qualification has a unique Qualification Number (QN) which is shown below. Each unit within the qualification will also have a regulatory Unit Reference Number (URN).

The QN code will be displayed on the final certificate for the qualification.

| Qualification Title                                     | Qualification Number (QN)<br>(England) |
|---|--|
| BIIAB Level 3 Award for Designated Premises Supervisors | 603/3735/7                             |

#### 2. Objective and Purpose of this Qualification

A Designated Premises Supervisor is the person who has day to day responsibility for a licensed premises and is responsible for authoring the alcohol sales. This qualification has been designed to allow learners to obtain and then demonstrate the knowledge related to the roles and responsibilities of a Designated Premises Supervisor working within the Licensed Retail sector. The qualification covers good practices and legal requirements relevant to the role at Level 3.

The primary purpose of the qualification is supporting a role in the workplace. However, employers can also rely on the knowledge provided as meeting nationally recognised standards at this level as such the sub-purpose is to develop knowledge and/or skills in a subject area.

Due to constant Regulatory, policy and funding changes, users are advised to check this qualification is funded for use with individual learners before making registrations. If you are unsure about the qualification's status please contact BIIAB head office.



#### 3. About this Handbook

This support pack has been developed to provide guidance for learners, assessors and quality assurers undertaking, delivering, or quality assuring this qualification.

The purpose of the support pack is to provide the majority of the key information that may be needed to prepare for, and help support, the successful delivery of the qualification, in one place.

If this pack is updated, centres will be notified via the BIIAB monthly newsletter which goes to approved centres.

#### 4. BIIAB Customer Service

BIIAB is committed to giving the highest possible levels of customer service. The BIIAB's Service Level Agreement is available via www.biiab.org.

Our Customer Service team can be contacted between the hours of 0900 and 1700 Monday to Friday by using the contact details below, or outside those hours, by leaving a message on our voicemail service.

Customer Service Contact Details: 01276 684449

Email: customersupport@bii.org

Our Customer Service team will be happy to assist with any administration related enquiries you may have. For example:

- · registration and certification enquiries
- re-certification issues
- centres available in the local area
- appeals
- whistleblowing.



#### 5. What are Rules of Combination (ROC)?

Under the Regulatory Framework qualifications can be made up of a combination of mandatory and/or optional units. The units and credits required to complete a qualification are set out by the rules of combination (RoC). The RoC allows for flexibility and transferability.

The ROC will specify:

- The total credit value of the qualification
- The amount of credit that must be achieved within specific groupings of units (e.g. Mandatory, Optional Unit, and Optional groups)
- The minimum credit which must be achieved at the level or above the level of the qualification
- The Total Qualification Time (TQT)
- The title, Unit Regulation Number and BIIAB Unit number for each unit, alongside its level, credit, and Guided Learning Hours (GLH)
- Any barred units (units that cannot be taken together as part of the qualification).

When choosing the appropriate route for a learner or group of learners, it is the responsibility of the centre to ensure the rules of combination are adhered to.



# 6. BIIAB Level 3 Award for Designated Premises Supervisors Rules of Combination (ROC) and Structure

To achieve the BIIAB Level 3 Award for Designated Premises Supervisors, learners **must** gain a **total of 1** credit. This **must** consist of:

Minimum total credit: 1

• GLH: 8

• TQT: 12

The qualification has been developed based upon industry feedback as to the fundamental knowledge required to work in the role of Designated Premises Supervisor at this level.

Listed below is the qualification unit.

#### **Mandatory Unit Group A**

| Unit No. | URN        | Unit Title                      | Credit | Level | GLH | Assessment<br>Method     |
|----------|------------|---------------------------------|--------|-------|-----|--------------------------|
| RRDPS    | J/617/2852 | Roles and Responsibilities of a | 1      | 3     | 8   | Multiple-<br>choice exam |
|          |            | Designated Premises Supervisor  |        |       |     | choice exam              |



#### 7. Age Restriction

The qualification in this handbook is appropriate for use for those that are 18+.

#### 8. Entry Requirements and Progression

The learner must be a current personal license holder or have achieved the Level 2 Award for Personal Licence Holders. Learners **must** be assessed to ensure they have a reasonable chance of achievement and will be able to generate the required evidence.

The qualification is designed to equip learners with the knowledge related to good practices and legal requirements of a designated premises supervisor at Level 3. The recommended progression route is to the BIIAB Level 3 Award in Hospitality Business Management. It also will allow for a number of progression routes to into other areas of learning and employment.

Achievement of the qualification offers opportunities for progression, including:

- 600/4570/X BIIAB Level 3 Award in Hospitality Business Management
- 600/4577/2 BIIAB Level 3 Certificate in Hospitality Business Management
- 603/0570/8 BIIAB Level 4 Award in the Roles and Responsibilities of Business
  Development Managers in the Licensed Retail Sector
- 603/0599/X BIIAB Level 4 Certificate in Multiple Licensed Premises Management.



#### 9. Assessment

#### Overview of assessment strategy

The Assessment Strategy has been designed by BIIAB, in conjunction with experts and industry. All BIIAB approved training centres and their assessment must adhere to the designed assessment strategy for this qualification. The qualification contains one knowledge unit, and this unit is externally set and marked by BIIAB. The examination consists of 50 Multiple Choice questions. Assessments provided by BIIAB will ensure that effective learning has taken place and that learners have the opportunity to:

- meet the assessment criteria
- achieve the learning outcomes.

#### **Assessment process**

Assessments will be accessible and will produce results that are valid, reliable, transparent and fair. BIIAB will ensure that the result of each assessment taken by a learner in relation to a qualification reflects the level of attainment demonstrated by that learner in the assessment, and will be based upon the achievement of all of the specified learning outcomes.

Details of the ordering process, assessment documentation, invigilation requirements to centres and the documentation to be completed can be found in the Examination and Invigilation Regulations for the Administration of BIIAB Qualifications document, available in the password protected area of CentreZone.

BIIAB will make every effort to ensure that it allows for assessment to:

- Be up to date and current
- · Reflect the context from which the learner has been taught
- Be flexible to learner needs.

#### **Appeals**

If learners are dissatisfied with an assessment outcome, they have the right to appeal. The **main** reasons for an appeal are likely to be:

- Learners do **not** understand why they are **not** yet regarded as having sufficient knowledge
- Learners believe they are competent and that they have been misjudged.

BIIAB expects most appeals from learners to be resolved within the centre. BIIAB will only consider a learner's appeal after the centre's internal appeals procedure has been fully exhausted.

For full details of the BIIAB's appeals procedure please refer to www.biiab.org



#### 10. Initial Assessment and Induction

Prior to the start of any programme it is recommended that centres should make an initial assessment of each learner. This is to ensure that the learners are entered for an appropriate type and level of qualification.

The initial assessment should identify the specific training needs that the learner has, and the support and guidance that they may require when working towards their qualification.

The centre must also identify any units the learner has already completed, or credits they have accumulated, relevant to the qualification.

BIIAB suggests that centres provide an induction programme to ensure the learner fully understands the requirements of the qualification they will work towards, their responsibilities as a learner, and the responsibilities of the centre.



#### 11. Resources

BIIAB provides the following additional resources for this qualification:

Externally set and marked assessments.

All of these resources are available to download for BIIAB approved training providers.

<u>www.biiab.org</u> has secure sections within the website where BIIAB approved centres can access materials, and all other documentation relevant to the qualification. Centres can access this information by logging into <u>www.biiab.org</u> and searching for the qualification underneath the Qualifications tab.

#### **Access to the Units**

Units form the qualification and the standard that **must** be achieved in order to be awarded each unit. This is covered within the learning outcomes, assessment criteria and the indicative content that form part of the delivery. BIIAB authored the sole unit for this qualification which is contained within this pack.



#### 12. Design and Delivery

Centres must refer to the units that form the qualification and the standard that must be achieved in order to be awarded each unit. This is covered within the learning outcomes and assessment criteria that form part of the delivery.

Each unit within this qualification has been allocated a number of Guided Learning Hours (GLH).

This can include activities such as training/class room based sessions, tutorials, supervised study or supervised 'on-the-job' learning and face-to-face or other pre-arranged 1:1 teaching sessions (e.g. simultaneous electronic communication such as webcam contact or internet messaging). It could also include time spent undertaking assessments.

The qualification is assigned Total Qualification Time (TQT), which, as well as GLH, will include the estimated number of hours spend in preparation, study or any other supervised learning, study or assessment for an average learner.

When planning how to deliver the qualification it is important to refer to this definition.

Centres must refer to assessment principles and additional requirements detailed in this handbook when planning the delivery and assessment of these qualifications.



#### 13. Format of Units

All units within this qualification will be presented in a standard format that is consistent with the format for all units of assessment. The format will give tutors and learners guidance as to the requirements of the unit for successful completion. Each unit within this specification will be in the format below:

#### **Unit Title**

This will be the unit title submitted to the Regulator.

#### **Unit Number / Unit Reference Number (URN)**

The Unit Reference Number is the unique code that the unit is given by the Regulator. This unit will be referenced on the final qualification certificate. The same unique code for the unit applies in whichever qualification the unit is included within. BIIAB also assign unique unit numbers which normally is consistent when the unit is used in multiple BIIAB qualifications.

#### Level

This identifies the level of demand for the unit, but may be a different level to that of the overall qualification. The level of the units will be set according to National Occupational Standards and the level descriptors.

#### Credit

When a whole unit is completed the learner will achieve credits specified by the number of hours' learning time it will take an average learner to complete the unit including the assessment.

#### **Guided Learning Hours (GLH)**

The required number of hours that learning should take place under the immediate guidance or supervision of a lecturer, supervisor, tutor or other appropriate provider of education or training.



#### **Learning Outcomes and Assessment Criteria**

Learning Outcomes are what the learner is expected to know, understand or be able to do upon successful completion of the unit.

Assessment Criteria are descriptions of the requirements that a learner is expected to meet in order to demonstrate that a learning outcome has been achieved. There are usually multiple assessment criteria for each Learning Outcome.



#### 14. Initial Registration

#### **Registration and Certification**

Learners should be registered and certificated via BIIAB's Online Registration and Certification Service (ORCS) <a href="www.orcs.biiab.org">www.orcs.biiab.org</a>. Please refer to BIIAB's Centre Guidance for using ORCS.

#### **Equal Opportunities and Diversity Policy**

BIIAB has in place an equal opportunities policy, a copy can be found at centrezone.bii.org.

BIIAB is committed to ensure that:

- Approved centres operate an equal opportunities policy
- Approved centres communicate the policy to staff and learners
- Approved centres have an effective complaints and appeals procedure of which both staff and learners are made aware
- Approved centres are aware of their responsibilities in providing equality of opportunity, particularly with regard to provision for learners with particular assessment requirements.

#### **Reasonable Adjustment Policy**

Learners who require reasonable adjustments for their assessments **must** inform their assessor at the beginning of their course of their requirements. BIIAB has a reasonable adjustment policy in place, a copy of which is provided to all BIIAB approved centres and can be found at <a href="mailto:centrezone.bii.org">centrezone.bii.org</a>.



#### 15. Qualification Review and Feedback

BIIAB is committed to the ongoing review of this qualification to ensure it remains fit for purpose.

This review approach involves the collation of evidence in the form of any information, comments and complaints received from users of this qualification in relation to its development, delivery and award.

BIIAB will give due regard to any credible evidence received which suggests that a change in approach to the development, delivery and award of this qualification is required in order to ensure that no adverse effects will result. This qualification will be periodically reviewed and revised to ensure the content remains relevant, assessment approach remains appropriate and that it remains valid and fit for purpose.

#### 16. Mandatory Unit

The following unit is mandatory for this qualification.



|                 |  | Role       | s and Responsibilities of the Designated Premises         |  |  |
|-----------------|--|------------|---|--|--|
| Unit Title      |  | Supervisor |   |  |  |
| BIIAB Reference |  | RRD        | RRDPS   |  |  |
| Level           |  | 3          | 3   |  |  |
| Credit Value    |  | 1          |   |  |  |
| GLI             | 4  | 8          |   |  |  |
| Uni             | it Reference No.   | J/61       | 7/2852  |  |  |
| Lea             | rning Outcome - The learner will:  | Asse       | ssment Criterion - The learner can:                       |  |  |
| 1               | Understand the function and  | 1.1        | Explain the function of a DPS under licensing legislation |  |  |
|                 | significance of the designated premised supervisor (DPS)                                 | 1.2        | Summarise the responsibilities of the DPS                 |  |  |
|                 | premised supervisor (Dr 3)   | 1.3        | Distinguish the limits regarding the DPS and the number   |  |  |
|                 |  |            | of premises they can supervise                            |  |  |
|                 |  | 1.4        | Explain the procedure to appoint/change a DPS             |  |  |
|                 |  | 1.5        | Explain who may object to the appointment of a DPS        |  |  |
| 2               | Understand how to carry out  | 2.1        | Summarise the steps to risk assessment in relation to the |  |  |
|                 | operational risk assessments in  |            | licensing objectives                                      |  |  |
|                 | respect of the licensing objectives  | 2.2        | Assess the risks that licensable activities pose to the   |  |  |
|                 |  |            | promotion of each of the licensing objectives             |  |  |
|                 |  | 2.3        | Explain the meaning of a cumulative impact policy         |  |  |
| 3               | Understand the measures that   | 3.1        | Summarise the measures that promote the prevention of     |  |  |
|                 | promote the licensing objectives   |            | crime and disorder licensing objective                    |  |  |
|                 |  | 3.2        | Summarise the measures that promote the public safety     |  |  |
|                 |  |            | licensing objective                                       |  |  |
|                 |  | 3.3        | Summarise the measures that promote the prevention of     |  |  |
|                 |  |            | public nuisance licensing objective                       |  |  |
|                 |  | 3.4        | Summarise the measures that promote the protection of     |  |  |
|                 |  |            | children from harm licensing objective                    |  |  |
|                 |  | 3.5        | Summarise the measures for dealing with special risk      |  |  |
|                 |  |            | factors   |  |  |
|                 |  | 3.6        | Explain other measures that promote general               |  |  |
|                 |  |            | management control  |  |  |
| 4               | Understand the responsibility to comply with conditions attached to the premises licence | 4.1        | Explain the relationship between premises licence         |  |  |
|                 |  |            | conditions and the licensing objectives                   |  |  |
|                 |  | 4.2        | Distinguish the types of condition that may be attached   |  |  |
|                 |  |            | to a premises licence                                     |  |  |
|                 |  | 4.3        | Explain steps required to comply with conditions that     |  |  |
|                 |  |            | may be attached to a premises licence                     |  |  |



| Hait Title      |  | Roles and Responsibilities of the Designated Premises |   |  |  |
|-----------------|--|---|---|--|--|
| Unit Title      |  | Supervisor  |   |  |  |
| BIIAB Reference |  | RRDPS   |   |  |  |
| Level           |  | 3   |   |  |  |
| Credit Value    |  | 1   |   |  |  |
| GL              |  | 8   | 8   |  |  |
| Un              | it Reference No.   |   | J/617/2852  |  |  |
|                 | arning Outcome - The learner will:                                 |   | ssment Criterion - The learner can:                     |  |  |
| 5               | Understand the measures that can                                   | 5.1   | Summarise measures that can be used for dealing with    |  |  |
|                 | be applied when dealing with problems                              |   | intoxicated customers                                   |  |  |
|                 |  | 5.2   | Summarise measures that can be used for dealing with    |  |  |
|                 |  |   | underage purchasers of age-restricted products          |  |  |
|                 |  | 5.3   | Summarise measures that can be used for dealing with    |  |  |
|                 |  |   | attempted theft of age-restricted products              |  |  |
|                 |  | 5.4   | Summarise measures that can be used when dealing with   |  |  |
|                 |  |   | confrontations  |  |  |
|                 |  | 5.5   | Describe measures that can be used for responding to    |  |  |
|                 |  |   | violent situations                                      |  |  |
|                 |  | 5.6   | Describe basic counter-terrorism measures               |  |  |
|                 |  | 5.7   | Describe how to protect vulnerable customers            |  |  |
| 6               | Understand the main implications                                   | 6.1   | Explain the concept of 'protected characteristics'      |  |  |
|                 | of equality legislation  | 6.2   | Identify measures that promote equal treatment of       |  |  |
|                 |  |   | customers in licensed premises                          |  |  |
| 7               | Understand the requirements of                                     | 7.1   | Explain the responsibilities in relation to health and  |  |  |
|                 | other legislation and the  |   | safety on the premises                                  |  |  |
|                 | application of good practice to the operation of licensed premises | 7.2   | Explain the requirements to comply with food safety     |  |  |
|                 | operation of heerised premises                                     |   | legislation   |  |  |
|                 |  | 7.3   | Describe the application of weights and measures        |  |  |
|                 |  |   | regulations on licensed premises                        |  |  |
|                 |  | 7.4   | Explain key aspects in relation to consumer protection  |  |  |
|                 |  |   | legislation   |  |  |
|                 |  | 7.5   | Explain key requirements in relation to the sale of age |  |  |
|                 |  |   | restricted products                                     |  |  |
|                 |  | 7.6   | Distinguish aspects of regulated and non-regulated      |  |  |
|                 |  |   | entertainment   |  |  |
|                 |  | 7.7   | Explain the key requirements of data protection         |  |  |
|                 |  |   | legislation   |  |  |



#### **Notices**

This book does not purport to contain an authoritative or definitive statement of the law or practice on any subject and the publishers supply the information on the strict understanding that no legal or other liability attaches to them in respect of it. References to sources of further guidance or information have been made where appropriate.

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