



Qualification Guidance Document



BIIAB Level 3 Award for Designated Premises Supervisors

Level 3 Award - 603/3735/7



Qualification Guidance Document

About Us

BIIAB Qualifications Limited is part of Skills and Education Group, a charitable organisation that champions education and skills-oriented organisations, providers and learners, making real change locally, nationally and internationally.

BIIAB Qualification Limited has an on-line registration system to help customers register learners on its qualifications, units and exams. In addition it provides features to view exam results, invoices, mark sheets and other information about learners already registered.

Sources of Additional Information

The BIIAB Qualifications Limited website www.biiab.co.uk provides access to a wide variety of information.

Copyright

Version	Date	Details of Change
2.0	July 2023	Updated document to new branding



Qualification Guidance Document

Contents

About Us.....	1
Contents.....	2
Qualification Summary	3
About the BIIAB Level 2 Certificate in Licensed Hospitality Skills	4
Objective and Purpose of this Qualification	4
About this Guidance.....	4
BIIAB Qualifications Limited Customer Service	5
What are Rules of Combination (RoC)?	5
BIIAB Level 3 Award for Designated Premises Supervisors Rules of Combination (RoC)	6
Age Restrictions	6
Entry Requirements and Progression	7
Assessment.....	7
Initial Assessment and Induction	9
Resources	9
Design and Delivery.....	10
Format of Units	10
Initial Registration	12
Qualification Review and Feedback.....	12
Mandatory Units.....	13

This is a live document and as such will be updated when required. It is the responsibility of the approved centre to ensure the most up-to-date version of the Qualification Specification is in use. Any amendments will be published on our website and centres are encouraged to check this site regularly.

Qualification Summary

BIIAB Level 3 Award for Designated Premises Supervisor							
Qualification Purpose	To demonstrate the knowledge related to the roles and responsibilities of a Designated Premises Supervisor working within the Licensed Retail sector.						
Age Range	Pre 16		16-18		18+	✓	19+
Regulation	The above qualification is regulated by: <ul style="list-style-type: none"> Ofqual 						
Assessment	<ul style="list-style-type: none"> Multiple Choice Examination 						
Type of Funding Available	See FaLa (Find a Learning Aim)						
Qualification/Unit Fee	See BIIAB Qualifications Limited website for current fees and charges						
Grading	Pass/Fail To achieve a Pass grade, learners must achieve all the Learning Outcomes and Assessment Criteria in all the units completed						
Operational Start Date	01/11/2018						
Review Date	31/08/2025						
Certification End Date							
Guided Learning (GL)	8 hours						
Total Qualification Time (TQT)	12 hours						
BIIAB Qualification Limited Sector	Hospitality and Catering						
Ofqual SSA Sector	07.4 Hospitality and Catering						
Support from Trade Associations							
Administering Office	See BIIAB Qualifications Limited website						

About the BIIAB Level 3 Award for Designated Premises Supervisors

BIIAB is regulated to deliver this qualification by Ofqual and CCEA Regulation in England and Northern Ireland respectively. The qualification has a unique Qualification Number (QN) which is shown below. Each unit within the qualification will also have a regulatory Unit Reference Number (URN)

The QN code will be displayed on the final certificate for the qualification.

Qualification	Qualification Number (QN)
BIIAB Level 3 Award for Designated Premises Supervisors	603/3735/7

Objective and Purpose of this Qualification

A Designated Premises Supervisor is the person who has day to day responsibility for a licensed premises and is responsible for authoring the alcohol sales. This qualification has been designed to allow learners to obtain and then demonstrate the knowledge related to the roles and responsibilities of a Designated Premises Supervisor working within the Licensed Retail sector. The qualification covers good practices and legal requirements relevant to the role at Level 3.

The primary purpose of the qualification is supporting a role in the workplace. However, employers can also rely on the knowledge provided as meeting nationally recognised standards at this level as such the sub-purpose is to develop knowledge and/or skills in a subject area.

Due to constant Regulatory, policy and funding changes, users are advised to check this qualification is funded for use with individual learners before making registrations. If you are unsure about the qualification's status please contact BIIAB head office.

About this Guidance

This qualification has been developed to provide guidance for learners, assessors and quality assurers undertaking, delivering, or quality assuring this qualification.

The purpose of the guidance is to provide the majority of the key information that may be needed to prepare for, and help support, the successful delivery of the qualification, in one place.

If this guidance is updated, centres will be notified by BIIAB Qualifications Limited.

BIIAB Qualifications Limited Customer Service

BIIAB Qualifications Limited is committed to giving the highest possible levels of customer service. Our service Level Agreement is available via www.biiab.co.uk

Our Customer Service team can be contacted between the hours of 0900 and 1700 Monday to Friday by using the contact details below, or outside those hours, by leaving a message on our voicemail service.

Customer Support Contact Details: 0115 854 1620

Email: CustomerSupport@biiab.co.uk

Our Customer Support team will be happy to assist with any administration related enquiries you may have. For example:

- registration and certification enquiries
- re-certification issues
- centres available in the local area
- appeals
- whistleblowing

What are Rules of Combination (RoC)?

Under the Regulatory Qualifications Framework (RQF), qualifications can be made up of a combination of mandatory and/or optional units. The units and credits required to complete a qualification are set out by the rules of combination (RoC). The RoC allows for flexibility and transferability.

The ROC will specify:

- the total credit value of the qualification
- the amount of credit that must be achieved within specific groups of units (e.g. Mandatory, Optional Unit, and Optional groups)
- the minimum credit which must be achieved at the level or above the level of the qualification
- the Total Qualification Time (TQT)
- the title, Unit Regulation Number and BIIAB Qualifications Limited Unit number for each unit, alongside its level, credit, and Guided Learning Hours (GLH)
- any barred units (units that cannot be taken together as part of the qualification)

When choosing the appropriate route for a learner or group of learners, it is the responsibility of the centre to ensure the rules of combination are adhered to.

Qualification Guidance Document

BIIAB Level 3 Award for Designated Premises Supervisors Rules of Combination (RoC) and Structure

To achieve the BIIAB Level 3 Award for Designated Premises Supervisors learners **must** gain a **total of 1** credit. This **must** consist of:

- **Minimum total** credit: **1**
- GLH: **8**
- TQT: **12**

The qualification has been developed based upon industry feedback as the fundamental knowledge required to work in the sector at the level.

Listed below are the qualification units.

Mandatory Unit Group A.

Unit No.	URN	Unit Title	Level	Credit	GLH	Assessment Method
RRDPS	J/617/2852	Roles and Responsibilities of a Designated Premises Supervisor	3	1	8	Multiple-choice exam

Age Restrictions

The qualification in this handbook is appropriate for use in the following age ranges:

- 18+

Entry Requirements and Progression

The learner must be a current personal license holder or have achieved the Level 2 Award for Personal Licence Holders. Learners **must** be assessed to ensure they have a reasonable chance of achievement and will be able to generate the required evidence.

The qualification is designed to equip learners with the knowledge related to good practices and legal requirements of a designated premises supervisor at Level 3. The recommended progression route is to the BIIAB Level 3 Award in Hospitality Business Management. It also will allow for a number of progression routes to into other areas of learning and employment.

Achievement of the qualification offers opportunities for progression, including:

- 600/4570/X BIIAB Level 3 Award in Hospitality Business Management
- 603/0570/8 BIIAB Level 4 Award in the Roles and Responsibilities of Business Development Managers in the Licensed Retail Sector
- 603/0599/X BIIAB Level 4 Certificate in Multiple Licensed Premises Management

Assessment

Overview of assessment strategy

The Assessment Strategy has been designed by BIIAB Qualifications Limited, in conjunction with an expert panel, and a steering group. All BIIAB Qualifications Limited approved training centres and their assessment must adhere to the designed assessment strategy for this qualification. The qualification contains one knowledge unit, and this unit is externally set and marked by BIIAB Qualifications Limited. The examination comprises of 30 Multiple Choice questions. Assessments provided by BIIAB Qualifications Limited will ensure that effective learning has taken place and that learners have the opportunity to:

- Meet the assessment criteria
- Achieve the learning outcomes

Qualification Guidance Document

Assessment Process

Assessments will be accessible and will produce results that are valid, reliable, transparent and fair. BIIAB Qualifications Limited will ensure that the result of each assessment taken by a learner in relation to a qualification reflects the level of attainment demonstrated by that learner in the assessment, and will be based upon the achievement of all of the specified learning outcomes.

Details of the ordering process, assessment documentation, invigilation requirements to centres and the documentation to be completed can be found in the Examination and Invigilation Regulations for the Administration of BIIAB Qualifications document.

BIIAB will make every effort to ensure that it allows for assessment to:

- Be up to date and current
- Reflect the context from which the learner has been taught
- Be flexible to learner needs

Assessment is the process used to judge the competence, of a learner, against set standards. The assessor is the person who is responsible for determining learners' competence. The assessor may be a work place supervisor or an external person who is trained and qualified, or working towards a qualification relevant to the assessor role.

Assessors base their judgement on performance and decide how it compares to the national standard. The assessor will also ask questions based on the knowledge required to do the work, to ascertain the knowledge and understanding of the learner.

When the required units have been completed and the assessor is satisfied that the learner has met the national standard, a recommendation for a certificate will be made.

An Internal Quality Assurer (IQA) is responsible for the quality assurance of the qualifications within the training organisation and will provide advice, guidance and support to the assessors. IQAs also ensure that the assessors apply the standards consistently and fairly. The IQA will review the portfolio of evidence during the assessment process.

An External Quality Assurer (EQA), who is appointed by BIIAB, will quality assure the assessment and internal quality assurance decisions involved in the development of the portfolio. The EQA will quality assure the qualification process, which ensures that certification of the qualification is reliable, consistent and to the national standard, by checking the consistency of assessments made by the training provider, and across training providers.

Qualification Guidance Document

Appeals

If learners are dissatisfied with an assessment outcome, they have the right to appeal. The **main** reasons for an appeal are likely to be:

- learners do **not** understand why they are **not** yet regarded as having sufficient knowledge
- learners believe they are competent and that they have been misjudged

BIIAB Qualifications Limited expects most appeals from learners to be resolved within the centre. BIIAB Qualifications Limited will only consider a learner's appeal after the centre's internal appeals procedure has been fully exhausted.

For full details of the BIIAB Qualifications Limited's appeals procedure please refer to <https://biiab.co.uk/policies-and-procedures/>

Initial Assessment and Induction

Prior to the start of any programme it is recommended that centres should make an initial assessment of each learner. This is to ensure that the learners are entered for an appropriate type and level of qualification.

The initial assessment should identify the specific training needs that the learner has, and the support and guidance that they may require when working towards their qualification.

The centre must also identify any units the learner has already completed, or credits they have accumulated, relevant to the qualification.

BIIAB Qualifications Limited suggests that centres provide an induction programme to ensure the learner fully understands the requirements of the qualification they will work towards, their responsibilities as a learner, and the responsibilities of the centre.

Resources

BIIAB provides the following additional resources for this qualification:

- Externally set and marked assessment

All of these resources are available to download on request.

Access to the units

Units form the qualification and the standard that **must** be achieved in order to be awarded each unit. This is covered within the learning outcomes, assessment criteria and the indicative content that form part of the delivery. The majority of these units are written by the Sector Skills Council, although some are written

Qualification Guidance Document

by other organisations. BIIAB Qualifications Limited includes the mandatory units within this pack, and makes all units available at www.biiab.co.uk

Design and Delivery

Centres must refer to the units that form the qualification and the standard that must be achieved in order to be awarded each unit. This is covered within the learning outcomes and assessment criteria that form part of the delivery.

Each unit within this qualification has been allocated a number of Guided Learning Hours (GLH).

This can include activities such as training/class room based sessions, tutorials, supervised learning, study or assessment for an average learner.

The qualification will be assigned Total Qualification Time (TQT), which, as well as GLH, will include the estimated number of hours spend in preparation, study or any other supervised learning, study or assessment for an average learner.

When planning how to deliver the qualification it is important to refer to this definition.

Centres must refer to the Assessment Principles and Additional Requirements detailed in this handbook when planning the delivery and assessment of these qualifications.

Format of Units

All units within this qualification will be presented in a standard format that is consistent with the format for all units of assessment. The format will give tutors and learners guidance as to the requirements of the unit for successful completion. Each unit within this specification will be in the format below:

Unit Title

This will be shown as it appears on the Register of Regulated Qualifications (<http://register.ofqual.gov.uk>).

Unit Number / Unit Reference Number (URN)

The Unit Reference Number is the unique code that the unit is given by the Regulator. This unit will be referenced on the final qualification certificate. The same unique code for the unit applies in whichever qualification the unit is included within. BIIAB Qualifications Limited also assign unique unit numbers which is consistent when the unit is used in multiple BIIAB qualifications.

Qualification Guidance Document

Level

This identifies the level of demand for the unit, but may be a different level to that of the overall qualification. The level of the units will be set according to National Occupational Standards and the level descriptors.

Credit

When a whole unit is completed the learner will achieve credits specified by the number of hours' learning time it will take an average learner to complete the unit including the assessment.

Guided Learning Hours (GLH)

The required number of hours that learning should take place under the immediate guidance or supervision of a lecturer, supervisor, tutor or other appropriate provider of education or training.

Total Qualification Time (TQT)

Total Qualification Time (TQT) is defined by Ofqual as the number of notional hours which represents an estimate of the total amount of time that could reasonably be expected to be required in order for a Learner to achieve and demonstrate the achievement of the level of attainment necessary for the award of a qualification. TQT is comprised of the following two elements:

- The number of hours which an awarding organisation has assigned to a qualification for Guided Learning, and
- An estimate of the number of hours a Learner will reasonably be likely to spend in preparation, study or any other form of participation in education or training, including assessment, which takes place as directed by – but, unlike Guided Learning, not under the Immediate Guidance or Supervision of – a lecturer, supervisor, tutor or other appropriate provider of education or training.

TQT is always assigned to the qualification however a similar calculation may on occasions also be assigned to a unit.

Learning Outcomes and Assessment Criteria

Learning Outcomes are what the learner is expected to know, understand or be able to do upon successful completion of the unit.

Assessment Criteria are descriptions of the requirements that a learner is expected to meet in order to demonstrate that a learning outcome has been achieved. There are usually multiple assessment criteria for each Learning Outcome.

Initial Registration

Registration and Certification

Learners should be registered and certificated via BIIAB Qualifications Limited's Customer Management System.

Equal Opportunities and Diversity Policy

BIIAB Qualifications Limited has in place an equal opportunities policy, a copy can be found at <https://www.biiab.co.uk/policies-and-procedures/>

BIIAB Qualifications Limited is committed to ensure that:

- approved centres operate an equal opportunities policy
- approved centres communicate the policy to staff and learners
- approved centres have an effective complaints and appeals procedure of which both staff and learners are made aware
- approved centres are aware of their responsibilities in providing equality of opportunity, particularly with regard to provision for learners with particular assessment requirements

Reasonable Adjustment Policy

Learners who require reasonable adjustments for their assessments **must** inform their assessor at the beginning of their course of their requirements. BIIAB Qualifications Limited has a reasonable adjustment policy in place, a copy of which is provided to all approved centres and can be found at <https://www.biiab.co.uk/policies-and-procedures/>

Qualification Review and Feedback

BIIAB Qualifications Limited is committed to the ongoing review of this qualification to ensure it remains fit for purpose.

This review approach involves the collation of evidence in the form of any information, comments and complaints received from users of this qualification in relation to its development, delivery and award.

BIIAB Qualifications Limited will give due to any credible evidence received which suggests that a change in approach to the development, delivery and award of this qualification is required in order to ensure that no adverse effects will result. This qualification will be periodically reviewed and revised to ensure the content remains relevant, assessment approach remains appropriate and that it remains valid and fit for purpose.

Mandatory Units

The following unit is mandatory for this qualification.

Roles and Responsibilities of the Designated Premises Supervisor

Unit Title	Roles and responsibilities of the designated premises supervisor
Unit Reference	J/617/2852
BIIAB Reference	RRDPS
Level	3
Credit Value	1
GLH	8
Learning Outcome- The learner will:	Assessment Criteria- The learner can:
1. Understand the function and significance of the designated premises supervisor (DPS)	1.1. Explain the function of a DPS under licensing legislation 1.2. Summarise the responsibilities of the DPS 1.3. Distinguish the limits regarding the DPS and the number of premises they can supervise 1.4. Explain the procedure to appoint/change a DPS 1.5. Explain who may object to the appointment of a DPS
2. Understand how to carry out operational risk assessments in respect of the licensing objectives	2.1. Summarise the steps to risk assessment in relation to the licensing objectives 2.2. Assess the risks that licensable activities pose to the promotion of each of the licensing objectives 2.3. Explain the meaning of a cumulative impact policy
3. Understand the measures that promote the licensing objectives	3.1. Summarise the measures that promote the prevention of crime and disorder licensing objective

	<p>3.2. Summarise the measures that promote the public safety licensing objective</p> <p>3.3. Summarise the measures that promote the prevention of public nuisance licensing objective</p> <p>3.4. Summarise the measures that promote the protection of children from harm licensing objective</p> <p>3.5. Summarise the measures for dealing with special risk factors</p> <p>3.6. Explain other measures that promote general management control</p>
<p>4. Understand the responsibility to comply with conditions attached to the premises licence</p>	<p>4.1. Explain the relationship between premises licence conditions and the licensing objectives</p> <p>4.2. Distinguish the types of condition that may be attached to a premises licence</p> <p>4.3. Explain steps required to comply with conditions that may be attached to a premises licence</p>
<p>5. Understand the measures that can be applied when dealing with problems</p>	<p>5.1. Summarise measures that can be used for dealing intoxicated customers</p> <p>5.2. Summarise measures that can be used for dealing with underage purchases of age-restricted products</p> <p>5.3. Summarise measures that can be used for dealing with attempted theft of age-restricted products</p>

Qualification Guidance Document

	<p>5.4. Summarise measures that can be used when dealing with confrontations</p> <p>5.5. Describe measure that can be used for violent situations</p> <p>5.6. Describe basic counter-terrorism measures</p> <p>5.7. Describe how to protect vulnerable customers</p>
<p>6. Understand the main implications of equality legislation</p>	<p>6.1. Explain the concept of 'protected characteristics'</p> <p>6.2. Identify measures that promote equal treatment of customers in licensed premises</p>
<p>7. Understand the requirements of other legislation and the application of good practice to the operation of licensed premises</p>	<p>7.1. Explain the responsibilities in relation to health and safety on the premises</p> <p>7.2. Explain the requirements to comply with food safety legislation</p> <p>7.3. Describe the application of weights and measures regulations on licensed premises</p> <p>7.4. Explain key aspects in relation to consumer protection legislation</p> <p>7.5. Explain key requirements in relation to the sale of age restricted products</p> <p>7.6. Distinguish aspects of regulated and non-regulated entertainment</p> <p>7.7. Explain the key requirements of data protection legislation</p>